Anti-Money Laundering and Counter Terrorist Financing Documentation

Moore Wealth Management is required to comply with the Criminal Justice (Money Laundering and Terrorist Financing) Acts 2010 to 2021, as amended. As part of these obligations, we must carry out appropriate Anti-Money Laundering (AML) and Counter-Terrorist Financing (CTF) checks on our clients. To meet these regulatory requirements, Moore Wealth Management must, at a minimum, obtain and verify the following information from each client:

1 Proof of Identity + 2 Proofs of Address + 1 Proof of PPS Number

The Following sets out the documents which are accepted by Moore Wealth Management:

A. Individuals

Proof of Identity

An independently certified copy of **one of the following**:

1. A valid passport or national i.d. card
2. A current EU driving licence
3. A public services card issued by the Irish Department of Social Welfare

Proof of Address

Independently certified copies of **one of the following** which must be dated strictly within 6 months of your application:

1. A bank statement*
2. A utility bill (gas, electricity, mobile phone, telephone)
3. A balancing statement from the Revenue

Where a bank statement is provided as one proof of address we will then accept the following:

4. Motor or home insurance policy (quotes are not acceptable)

PPS Number ("PPSN")

Certified copy of **one of the following**:

1. Public Services / Social Services Card
2. Drugs Payment Scheme Card/Medical/GP visit card/
3. EHIC (European Health Insurance Card)
4. Employment Detail Summary (formerly P60/P45)
5. Tax assessment
6. PAYE Notice of Tax Credits

B. Corporates

1. Certified copies of the above information required for individuals relating to two directors of the incorporatedentity
2. Where the corporate has a single director only, then the above information is required for that one individual
3. Certified incorporation documents to include a certificate of Incorporation and Constitution

C. Various

Different structures not referenced above, will have differing requirements. Below is a summary of what may be required in the following circumstances:

1. Legal person/arrangement: Dependent on the ownership and control structure
2. Partnership: Two individuals who owns 25% or more of the capital, profit or voting rights directly or indirectly
3. Trust: The trustee that administers the fund and
   The individual that is entitled or has a vested interest in at least 25% of the capital of the trust property
4. Estate of a deceased person: The executors or administrators
5. Other: An individual who benefits from at least 25% of the property

Who can certify

Documents should be certified by one of the following:

1. Garda Siochana
2. Practicing chartered and certified accountants
3. Notary public
4. Embassy or consular staff
5. Regulated Financial companies, including Moore Wealth Management
6. Commissioner for oaths
7. Self certification of documentation will not be accepted.

Minimum Requirements

The above sets out the minimum requirements that Moore Wealth Management will accept. Moore Wealth Management reserves the right to request additional information in order to meet its obligations. The requirements for the many different entity types is not listed here.

Ongoing Monitoring

Moore Wealth Management reserves the right to request dated documentation from time to time in order to meet its obligations.

Quality of documentation

Moore Wealth Mangement requires all copies of documentation to be clear and legible.
Poor quality documentation cannot be accepted.

COLM MOORE
colm@mwm.ie
086 860 39 53

KIERAN MOORE
kieran@mwm.ie
086 380 18 68

Moore Wealth Management Limited trading as Moore Wealth Management is Regulated by the Central Bank of Ireland Reg number 55195